

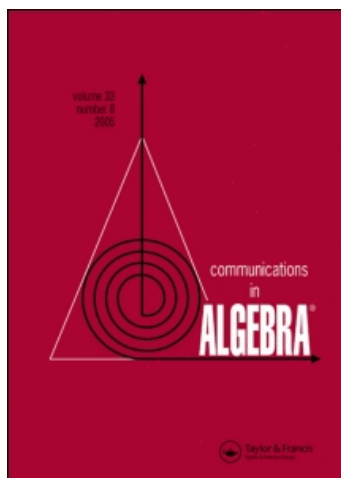
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## QUASI-HEREDITARY ALGEBRAS WHICH ARE DUAL EXTENSIONS OF ALGEBRAS

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Dedicated to Professor Xue-Fu Duan on his 80<sup>th</sup> birthday

### Introduction.

In connection with the study of highest weight categories arising in the representation theory of semisimple complex Lie algebras and algebraic groups Cline, Parshall and Scott introduced in [CPS] the notion of quasi-hereditary algebras. Many important algebras such as hereditary algebras, Schur algebras and algebras to blocks of category  $\mathcal{O}$  which is studied in [BGG] are special classes of quasi-hereditary algebras. Quasi-hereditary algebras can be defined in ring-theoretic terms by the existence of a special sequence of ideals. These algebras have many applications and appear quite common.

Suppose  $A$  is a quasi-hereditary algebra, then there is a partial order  $\leq$  on the set  $\Lambda$  of simple modules, and one studies the standard modules  $\Delta = \{\Delta(\lambda) | \lambda \in \Lambda\}$ . Of main interest is the category  $\mathcal{F}(\Delta)$  of  $A$ -modules which have a  $\Delta$ -filtration. C.M. Ringel proved in [R1] that  $\mathcal{F}(\Delta)$  has relative almost split sequences. As a notable example, one considers the Schur algebra  $([G])$ , in this case  $\mathcal{F}(\Delta)$  becomes just the category consisting of all modules which have Weyl module filtration and is studied by many authors (see [D], [E], and others).

One of the interesting questions on  $\mathcal{F}(\Delta)$  of a quasi-hereditary algebra is when the category  $\mathcal{F}(\Delta)$  is finite (namely, there are only finitely many non-isomorphic

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indecomposable modules). The present paper provides an attempt to investigate  $\mathcal{F}(\Delta)$  for a class of quasi-hereditary algebras which are defined as dual extensions (see below) of algebras without oriented cycle. These quasi-hereditary algebras are indeed BGG-algebras and have exact Borel subalgebras (see [X]). Our main result provides a useful reduction for these algebras with which one can decide whether  $\mathcal{F}(\Delta)$  is finite even if the algebras themselves may be complicated (of wild type). Moreover, if a quasi-hereditary algebra is the dual extension of a hereditary algebra with radical square zero then we show that the relative Auslander-Reiten quiver of  $\mathcal{F}(\Delta)$  is almost the same as the one of the given hereditary algebra.

The paper is organised as follows. In section 1 we recall some definitions and include some basic results needed in the sequel. From section 2 to 4 we prove the main results and give an explanation of our method. The last section contains results on the quadratic dual of the quadratic BGG-algebra which is the dual extension of a quadratic algebra without oriented cycle in its quiver.

Throughout the paper, algebras always mean finite-dimensional algebras over an algebraically closed field  $k$  and modules always mean finitely generated left modules, and notation on quivers is taken from [R2].

### 1. Preliminaries.

**1.1** Let  $A$  be a finite-dimensional  $k$ -algebra. We denote by  $A\text{-mod}$  the category of all finite-dimensional left  $A$ -modules. Given a class  $\Theta$  of  $A$ -modules, we denote by  $\mathcal{F}(\Theta)$  the full subcategory of  $A\text{-mod}$  defined to be the class of all  $A$ -modules which have a  $\Theta$ -filtration, that is, a filtration

$$0 = M_t \subset M_{t-1} \subset \cdots \subset M_1 \subset M_0 = M$$

such that each  $M_{i-1}/M_i$ ,  $1 \leq i \leq t$ , is isomorphic to an object in  $\Theta$ .

Let  $E(1), \dots, E(n)$  be the simple  $A$ -modules, one from each isomorphism class. Note that here we have fixed an ordering of simple modules. Let  $P(i)$  be the projective cover of  $E(i)$  and  $Q(i)$  the injective hull of  $E(i)$ . We define  $\Delta(i)$  to be the largest factor module of  $P(i)$  with all composition factors of the form  $E(j)$  for  $j \leq i$  and call it a standard module. Dually, the so-called costandard module  $\nabla(i)$  is defined to be the largest submodule of  $Q(i)$  with composition factors  $E(j)$  with  $j \leq i$ . Let  $\Delta$  be the full subcategory formed by all  $\Delta(i)$ ,  $1 \leq i \leq n$  and  $\nabla$  the full subcategory formed by all  $\nabla(i)$ ,  $1 \leq i \leq n$ . (Sometimes we denote  $\Delta$  (respectively,  $\nabla$ ) by  $\Delta_A$  (respectively,  $\nabla_A$ ) in order to indicate the considered algebra  $A$ ).

The algebra  $A$  (or better the pair  $(A, \Delta)$ ) is called quasi-hereditary if

- (i)  $\text{End}_A(\Delta(i)) \cong k$  for all  $i$ , and
- (ii) Every projective module belongs to  $\mathcal{F}(\Delta)$ .

The algebra  $A$  is called a BGG-algebra ([I]) if (i), (ii) and the following condition (iii) are satisfied:

- (iii) There is a duality  $\delta: A\text{-mod} \rightarrow A\text{-mod}$  which fixes simple modules.

**1.2** Suppose  $A$  is a quasi-hereditary algebra. We will collect now some results which will be needed in this context, proofs may be found in [R1].

**Lemma.** (1)  $\text{Ext}_A^t(X, Y) = 0$  for all  $X \in \mathcal{F}(\Delta)$  and  $Y \in \mathcal{F}(\nabla)$  and all  $t \geq 1$ .  
 (2) For each  $i$ , there is a unique (up to isomorphism) indecomposable module  $T(i) \in \mathcal{F}(\Delta) \cap \mathcal{F}(\nabla)$  with the following exact sequences

$$0 \longrightarrow \Delta(i) \longrightarrow T(i) \longrightarrow X(i) \longrightarrow 0$$

$$0 \longrightarrow Y(i) \longrightarrow T(i) \longrightarrow \nabla(i) \longrightarrow 0$$

such that  $X(i) \in \mathcal{F}(\Delta(1), \dots, \Delta(i-1))$ ,  $Y \in \mathcal{F}(\nabla(1), \dots, \nabla(i-1))$  and  $\mathcal{F}(\Delta) \cap \mathcal{F}(\nabla) = \text{add}(\bigoplus_{i=1}^n T(i))$ .

(3) Put  $T = \bigoplus T(i)$ , we call  $T$  the characteristic module. Then for every  $A$ -module  $M \in \mathcal{F}(\Delta)$ , there is an exact sequence

$$0 \longrightarrow M \longrightarrow T_0 \longrightarrow T_1 \longrightarrow \dots \longrightarrow T_d \longrightarrow 0$$

with  $T_i \in \text{add} T$ .

(4)  $\text{Ext}_A^1(\Delta(i), \Delta(j)) = 0$  for all  $i \geq j$  and  $\text{Hom}_A(\Delta(i), \Delta(j)) = 0$  for all  $i > j$ . In particular,  $\text{Hom}_A(\mathcal{F}(\Delta(i), \dots, \Delta(n)), \mathcal{F}(\Delta(1), \dots, \Delta(i-1))) = 0$ .

(5) For each  $i$  and for each module  $M \in \mathcal{F}(\Delta)$ , there is a submodule  $M'$  of  $M$  such that  $M' \in \mathcal{F}(\Delta(i), \dots, \Delta(n))$  and  $M/M' \in \mathcal{F}(\Delta(1), \dots, \Delta(i-1))$ .

**1.3** A special class of quasi-hereditary algebras is constructed in [X]. Let us now recall the construction.

Let  $B$  be a finite-dimensional basic algebra over  $k$ . As usual we say that  $B$  is given by a quiver  $Q_B = (Q_0, Q_1)$  with relations  $\{\rho_i \mid i \in I_B\}$ , that is, we consider the algebra  $kQ^*/<\{\rho_i^* \mid i \in I_B\}>$ , where  $Q^*$  is the opposite quiver of  $Q$  and the multiplication  $\alpha\beta$  of two arrows  $\alpha$  and  $\beta$  means that  $\alpha$  comes first and then  $\beta$  follows (for the notation see [R2, 2] for details). For each  $\alpha$  from  $i$  to  $j$  in  $Q_1$ , let  $\alpha'$  be an arrow from  $j$  to  $i$ . We denote by  $Q_1'$  the set of all such  $\alpha'$  with  $\alpha \in Q_1$ . For a path  $\alpha_1 \cdots \alpha_m$  we denote by  $(\alpha_1 \cdots \alpha_m)'$  the path  $\alpha_m' \cdots \alpha_1'$  in  $(Q_0, Q_1')$ . With this notation we may define a BGG-algebra.

**Definition.** Suppose that  $B$  is an algebra given by the quiver  $Q_B = (Q_0, Q_1)$  with relations  $\{\rho_i \mid i \in I_B\}$ . Let  $A$  be the algebra given by the quiver  $(Q_0, Q_1 \cup Q_1')$  with relations  $\{\rho_i \mid i \in I_B\} \cup \{\rho_i' \mid i \in I_B\} \cup \{\alpha\beta' \mid \alpha, \beta \in Q_1\}$ . Then it is a finite-dimensional algebra over  $k$ .

If  $B$  has no oriented cycle in its quiver, we may assume that  $Q_0 = \{1, \dots, n\}$  such that  $\text{Hom}_B(P_B(i), P_B(j)) = 0$  for  $i > j$ , then  $A$  is quasi-hereditary. Furthermore, the standard  $A$ -modules are  $\Delta_A(i) = P_B(i)$  for  $i \in \{1, \dots, n\}$ . We say that  $A$  is the dual extension of  $B$ , denoted by  $\mathcal{A}(B)$ .

From now on, we suppose that  $B$  is a basic algebra without oriented cycle.

Since for this algebra  $A = \mathcal{A}(B)$  one has a duality which fixes all simple modules, it is in fact a BGG-algebra in the sense of [I]. For this BGG-algebra  $A$  obtained from  $B$  we have the following properties.

**1.4 Lemma.** (1)  $B$  is a subalgebra of  $A$  with the same maximal semisimple subalgebra and  $B$  is also a factor algebra of  $A$ .

(2) Let  $B'$  be given by  $(Q_0, Q'_1)$  with relations  $\{\rho'_i \mid i \in I_B\}$ . Then  $B'$  is a subalgebra of  $A$  with the same maximal semisimple subalgebra and  $B'$  is also the factor algebra of  $A$  modulo the ideal generated by  $\{\alpha^* \mid \alpha \in Q_1\}$ . Moreover,  $\nabla_A(i) = Q_{B'}(i)$  for all  $i$ .

(3) The module  $A_{B'}$  is projective.

(4)  $\dim_k A = \sum_i (\dim_k P_B(i))^2$ .

(5) Let  $\mu : B \rightarrow A$  be the inclusion in (1). Then for each algebra-homomorphism  $f : B \rightarrow R$ , there is a homomorphism  $g : A \rightarrow R$  such that  $f = \mu g$ .

**Proof.** By the construction of  $A$ , the statements (1) to (3) and (5) are trivial. The statement (4) follows from the following fact which can be proved by using the BGG-reciprocity (see [CPS] or [I]):

Let  $A$  be a BGG-algebra with standard modules  $\Delta(1), \dots, \Delta(n)$ . Then  $\dim_k A = \sum_i (\dim_k \Delta(i))^2$ .

To see whether a module  $M$  lies in  $\mathcal{F}(\Delta)$  we have the following

**1.5 Lemma.** Let  $M$  be an  $A$ -module. Then  $M \in \mathcal{F}(\Delta)$  if and only if  ${}_B M$  is projective.

**Proof.** Let  $M$  be in  $\mathcal{F}(\Delta)$ . Since  $\Delta_A(i), 1 \leq i \leq n$ , are projective  $B$ -modules, one knows that  $M$  as a  $B$ -module is a successive extension of projective  $B$ -modules and hence is a projective  $B$ -module.

Now assume that  ${}_B M$  is a projective  $B$ -module. We shall show that  $M$  belongs to  $\mathcal{F}(\Delta)$ . Let  ${}_B M'$  be a submodule of  ${}_B M$  such that  ${}_B M'$  is a direct sum of all direct summands of  ${}_B M$  isomorphic to  $B e_n$ . Then  $M'$  is also an  $A$ -module since  $B e_n = A e_n = \Delta_A(n)$ . We may write  ${}_B M = {}_B M' \oplus {}_B M''$ . Thus there is an exact sequence

$$0 \longrightarrow M' \longrightarrow M \longrightarrow M/M' \longrightarrow 0$$

in  $A$ -mod. Since  $M/M' \cong M''$  as  $B$ -modules, by induction, the  $A/Ae_n A$ -module  $M/M' \in \mathcal{F}(\Delta_{\bar{A}}(1), \dots, \Delta_{\bar{A}}(n-1))$ , where  $\bar{A} = A/Ae_n A$  can be considered as the dual extension of  $\bar{B} = B/B e_n B$  by 1.3. Hence  $M \in \mathcal{F}(\Delta)$ .

**1.6** To compare two categories  $\mathcal{F}(\Delta_A)$  and  $B'$ -mod, we consider the functors  $F = {}_A A \otimes_{B'} - : B'\text{-mod} \rightarrow A\text{-mod}$  and  $G = B'_A \otimes - : A\text{-mod} \rightarrow B'\text{-mod}$ . The basic properties of these functors are formulated in the following lemma.

**Lemma.** (1)  $F$  is an exact functor.

(2)  $FE(i) \cong \Delta_A(i)$ ,  $FP_{B'}(i) \cong P_A(i)$  for all  $i$ .

(3)  $G(FM) \cong {}_{B'} M$  for each  $B'$ -module  $M$ .

**Proof.** (1) follows from 1.4.

(2) It is easy to see that  $B'$  is an exact Borel subalgebra of  $A$  in the sense of [K]. Thus (2) follows from the definition of an exact Borel subalgebra.

(3) Since  $G(FM) = {}_{B'} B'_A \otimes (A \otimes_{B'} M) \cong (B' \otimes_A A) \otimes_{B'} M \cong B' \otimes_{B'} M \cong M$ , the statement follows.

**1.7 Remark.** From the definition 1.3 we know that the dual extension  $A = \mathcal{A}(B)$  of an algebra  $B$  without oriented cycle is always a lean quasi-hereditary algebra in the sense of [ADL] since  $C_t = \varepsilon_t A \varepsilon_t$  can be obtained from the quiver of  $A$  by restricting it to the vertices  $\{t, t+1, \dots, n\}$ , where  $\varepsilon_t = e_t + e_{t+1} + \dots + e_n$ .

## 2. Reduction.

In this section we will use the theory of vector space categories to give a method to determine whether  $\mathcal{F}(\Delta)$  is finite. In this way the question is reduced from a bigger algebra to a smaller one. It turns out that this reduction is powerful when one deals with the dual extension algebra  $A$  of an algebra having no oriented cycle as in section 1.

Let us first recall some definitions.

**2.1 Definition ([R2]).** Let  $\mathcal{K}$  be a Krull-Schmidt  $k$ -category and  $|\cdot| : \mathcal{K} \rightarrow k\text{-mod}$  an additive functor. The pair  $(\mathcal{K}, |\cdot|)$  is called a vector space category. We denote by  $\mathcal{U}(\mathcal{K}, |\cdot|)$ , called subspace category of  $(\mathcal{K}, |\cdot|)$ , the category of all triples  $V = (V_0, V_\omega, \gamma_V : V_\omega \rightarrow |V_0|)$ , where  $V_\omega \in k\text{-mod}$ ,  $V_0 \in \mathcal{K}$  and  $\gamma_V$  is a  $k$ -linear map. A morphism from  $V \rightarrow V'$  by definition is a pair  $(f_0, f_\omega)$ , where  $f_0 : V_0 \rightarrow V'_0$  and  $f_\omega : V_\omega \rightarrow V'_\omega$  such that  $f_\omega \gamma_{V'} = \gamma_V |f_0|$ .

An additive  $k$ -category is called finite if there are finitely many isomorphism classes of indecomposable objects.

If  $A_0$  is an algebra over  $k$ , and  $R$  is an  $A_0$ -module, one may form the one-point extension

$$A = \begin{bmatrix} A_0 & R \\ 0 & k \end{bmatrix}$$

This algebra is denoted by  $A = A_0[R]$ . We denote by  $\omega$  the extension vertex of  $A$ . Clearly,  $A\text{-mod} \simeq \mathcal{U}(A_0\text{-mod}, \text{Hom}_{A_0}(R, -))$ . Dually, one has one-point coextension  $[R]A_0$  which is defined to be the following matrix algebra

$$\begin{bmatrix} k & \text{Hom}_k(R, k) \\ 0 & A_0 \end{bmatrix},$$

Suppose  $A_0$  is a quasi-hereditary algebra and  $R \in \mathcal{F}(\Delta_{A_0})$ . Then, by setting  $\Delta_A(\omega) = (0, k, 0)$ ,  $\Delta_A(1) = \Delta_{A_0}(1)$ ,  $\dots$ ,  $\Delta_A(n) = \Delta_{A_0}(n)$ , the algebra  $A = A_0[R]$  becomes a quasi-hereditary algebra. Moreover, we have

**2.2 Lemma.**  $\mathcal{F}(\Delta_A) = \mathcal{U}(\mathcal{F}(\Delta_{A_0}), \text{Hom}_{A_0}(R, -))$ .

**Proof.** Since  $R \in \mathcal{F}(\Delta_{A_0})$  we see that  $P(\omega) \in \mathcal{F}(\Delta_A)$ . For any object  $V = (V_0, V_\omega, \gamma_V) \in A\text{-mod}$  there is the following exact sequence

$$0 \longrightarrow (V_0, 0, 0) \longrightarrow (V_0, V_\omega, \gamma) \longrightarrow (0, V_\omega, 0) \longrightarrow 0$$

which shows that  $V_0 \in \mathcal{F}(\Delta_{A_0})$  if  $V \in \mathcal{F}(\Delta_A)$ . Conversely, if  $V = (V_0, V_\omega, \gamma_V) \in \mathcal{U}(\mathcal{F}(\Delta_{A_0}), \text{Hom}_{A_0}(R, -))$ , one can again form the above sequence and knows from  $(0, V_\omega, 0) \cong \Delta_A(\omega)^{\dim_k V_\omega}$  that  $V$  is an extension of  $(V_0, 0, 0) \in \mathcal{F}(\Delta_{A_0})$  and a module in  $\text{add} \Delta_A(\omega)$ . Hence  $V \in \mathcal{F}(\Delta_A)$ .

**2.3** Let  $D$  be a quasi-hereditary algebra with standard modules  $\Delta_D(2), \dots, \Delta_D(n)$ . Suppose that there is a quasi-hereditary algebra  $C$  which is a coextension of  $D$  by a  $D$ -module such that  $\Delta_D(i) \cong \Delta_C(i)/Ce_1\Delta_C(i)$  for  $i \geq 2$ , where 1 is the coextension vertex of  $C$ . (Note that the simple  $C$ -modules have the ordering  $E_C(1), \dots, E_C(n)$ ). Now we want to build a relationship between  $\mathcal{F}(\Delta_C)$  and  $\mathcal{F}(\Delta_D)$  and compare these two categories.

**Proposition.** There is an exact functor  $\eta : \mathcal{F}(\Delta_C) \rightarrow \mathcal{F}(\Delta_D)$  such that

- (1)  $\eta$  is dense and full.
- (2) If  $f : M \rightarrow N$  is a homomorphism, then  $\eta(f) = 0$  if and only if  $f$  factors through  $\text{add}(E_C(1))$ .
- (3) If  $\eta(M) = 0$  for some indecomposable module  $M \in \mathcal{F}(\Delta_C)$ , then  $M \cong \Delta_C(1) = E_C(1)$ .
- (4) For any  $M \in \mathcal{F}(\Delta_C)$ , if  $M$  has no direct summand isomorphic to  $E_C(1)$ , then the module  $\eta(M)$  is indecomposable if and only if  $M$  is indecomposable.
- (5) Given two indecomposable modules  $M_1$  and  $M_2$  in  $\mathcal{F}(\Delta_C)$ , they are isomorphic if and only if  $\eta(M_1)$  and  $\eta(M_2)$  are isomorphic.
- (6) If  $R$  is a module in  $\mathcal{F}(\Delta_C)$  and contains no direct summand isomorphic to  $E_C(1)$ , then  $\text{Hom}_C(R, M) \cong \text{Hom}_D(\eta(R), \eta(M))$  for all  $M \in \mathcal{F}(\Delta_C)$ .

**Proof.** Note that  $D$  is the factor algebra of  $C$  modulo the ideal  $Ce_1C$  and we can identify  $D$  with  $C/Ce_1C$ . Put  $\eta(M) = M/Ce_1M$  for all  $M \in C\text{-mod}$ . Since  $Ce_1$  is a simple projective module,  $Ce_1M$  is just the maximal semisimple submodule of  $M$  with each direct summand isomorphic to  $E_C(1)$ . This implies that for each  $f : M \rightarrow N$  one can define  $\eta(f)$  to be the induced map from  $\eta(M)$  to  $\eta(N)$  by  $f$ :

$$\begin{array}{ccccccc} 0 & \longrightarrow & Ce_1M & \longrightarrow & M & \xrightarrow{\pi_M} & \eta(M) \longrightarrow 0 \\ & & \downarrow & & \downarrow f & & \downarrow \eta(f) \\ 0 & \longrightarrow & Ce_1N & \longrightarrow & N & \xrightarrow{\pi_N} & \eta(N) \longrightarrow 0 \end{array}$$

Clearly,  $\eta$  is a well-defined functor from  $C\text{-mod}$  to  $D\text{-mod}$ .

Since  $Ce_1$  is a projective  $C$ -module and  $\eta$  is naturally equivalent to the functor  $(C/Ce_1C) \otimes_C -$ , we see that  $\eta$  is an exact functor which sends  $\Delta_C(i)$  to  $\Delta_D(i)$  for all  $i \geq 2$ . Hence if  $M \in \mathcal{F}(\Delta_C)$  then  $\eta(M) \in \mathcal{F}(\Delta_D)$ .

(1)  $\eta$  is dense. Indeed, let  ${}_D X \in \mathcal{F}(\Delta_D)$ . If  ${}_D X \cong \Delta_D(n)$  then there is nothing to prove. So we assume that if  ${}_D X$  has  $\Delta_D$ -composition factors of the form  $\Delta_D(j)$  with  $i \leq j \leq n$  then there is a module  ${}_C M \in \mathcal{F}(\Delta_C)$  such that  $\eta(M) \cong X$ .

Using induction, we shall prove that if  ${}_D X \in \mathcal{F}(\Delta_D)$  with  $\Delta_D$ -composition factors of the form  $\Delta_D(j)$ ,  $i-1 \leq j \leq n$  then we can find a module  $M \in \mathcal{F}(\Delta_C)$  with  $\eta(M) \cong X$ .

Let  $X$  be such a module in  $\mathcal{F}(\Delta_D)$ . Then by Lemma 1.2 (5), there exists a submodule  $X_0$  of  $X$  with  $X_0 \in \mathcal{F}(\Delta_D(i), \dots, \Delta_D(n))$  such that  $X/X_0 \in \mathcal{F}(\Delta_D(i-1))$ . Hence we have the following exact sequence

$$0 \longrightarrow X_0 \xrightarrow{\beta'} X \xrightarrow{\beta} \Delta_D(i-1)^l \longrightarrow 0$$

with  $l = [M : \Delta_D(i-1)]$ , the number of factors  $\Delta_D(i-1)$  in a  $\Delta_D$ -filtration of  $M$ .

By induction, there is a module  $M_0 \in \mathcal{F}(\Delta_C)$  such that  $\eta(M_0) = X_0$ . Since  $\text{Ext}_C^2(\Delta_C(i-1), E_C(1)) = 0$  by 1.2 (1), we may form the following diagram in  $C\text{-mod}$ :

$$\begin{array}{ccccccc}
 & & Ce_1M_0 & \xlongequal{\quad} & Ce_1M_0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 & \longrightarrow & M_0 & \xrightarrow{x} & M & \xrightarrow{y} & \Delta_C(i-1)^l \longrightarrow 0 \\
 & & \downarrow \pi_{M_0} & & \downarrow & & \parallel \\
 0 & \longrightarrow & X_0 & \xrightarrow{\mu} & X' & \xrightarrow{\delta} & \Delta_C(i-1)^l \longrightarrow 0 \\
 & & \parallel & & \downarrow \alpha & & \downarrow \gamma \\
 0 & \longrightarrow & X_0 & \xrightarrow{\beta'} & {}_D X & \xrightarrow{\beta} & \Delta_D(i-1)^l \longrightarrow 0
 \end{array}$$

where  $\gamma$  is the canonical projection, and where  $X'$  is the pullback of  $\beta$  and  $\gamma$  and also the pushout of  $\pi$  and  $x$ . (Note that for  $M_0 \in C\text{-mod}$  there holds  $Ce_1M_0 \cong E_C(1)^l = \ker(\gamma)$ ). Then we may form the following diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & Ce_1M_0 & \longrightarrow & M' & \longrightarrow & Ce_1X' \longrightarrow 0 \\
 & & \parallel & & \downarrow & & \downarrow \\
 0 & \longrightarrow & Ce_1M_0 & \longrightarrow & M & \longrightarrow & X' \longrightarrow 0
 \end{array}$$

with  $M'$  a pullback and  $M' \cong E_C(1)^{l+m}$ . Since  $M/M' \cong {}_D X$ , we get that  $M' = Ce_1M$  and  $\eta(M) \cong {}_D X$ . This shows that  $\eta$  is dense.

Suppose  $M_1, M_2 \in \mathcal{F}(\Delta_C)$  and  $f : \eta(M_1) \rightarrow \eta(M_2)$ . Applying  $\text{Hom}_C(M_1, -)$  to the exact sequence (in  $C\text{-mod}$ )

$$0 \longrightarrow Ce_1M_2 \longrightarrow M_2 \longrightarrow \eta(M_2) \longrightarrow 0,$$

one obtains the following exact sequence

$$\cdots \longrightarrow \text{Hom}_C(M_1, M_2) \longrightarrow \text{Hom}_C(M_1, \eta(M_2)) \longrightarrow \text{Ext}_C^1(M_1, Ce_1M_2) \longrightarrow \cdots$$

Since  $Ce_1M_1 \cong E_C(1)^m \in \mathcal{F}(\nabla_C)$  and  $\text{Ext}_C^1(\mathcal{F}(\Delta_C), \mathcal{F}(\nabla_C)) = 0$ , one has  $\text{Ext}_C^1(M_1, Ce_1M_2) = 0$  and the following commutative diagram:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & Ce_1M_1 & \longrightarrow & M_1 & \longrightarrow & \eta(M_1) \longrightarrow 0 \\
 & & \downarrow & & \downarrow f' & & \downarrow f \\
 0 & \longrightarrow & Ce_1M_2 & \longrightarrow & M_2 & \longrightarrow & \eta(M_2) \longrightarrow 0
 \end{array}$$



This shows that there exists a homomorphism  $f' \in \text{Hom}_C(M_1, M_2)$  with  $\eta(f') = f$  by the definition of  $\eta$ . Hence  $\eta$  is full.

(2) This is trivial.

(3) Suppose  $M$  is indecomposable and  $\eta(M) = 0$ . Then  $M = Ce_1M \cong E_C(1)^l$  and (3) follows.

(4) If  $M$  is indecomposable with  $\eta(M) \neq 0$  then  $\eta(M)$  is indecomposable since  $\eta$  is full. Now let  $M$  be a module in  $\mathcal{F}(\Delta_C)$  which has no direct summand isomorphic to  $E_C(1)$ . Suppose that  $\eta(M)$  is indecomposable. We shall prove that  $M$  is also indecomposable. Taking an  $f \in \text{End}_C(M)$ , then  $\eta(f)$  is invertible or nilpotent. If  $\eta(f)$  is invertible then there exists a homomorphism  $g' \in \text{End}_C(\eta(M))$  such that  $\eta(f)g' = 1$ . By (1), there is an endomorphism  $g$  of  $M$  such that  $\eta(g) = g'$  and  $\eta(fg) = \eta(f)g'$ . Hence  $\eta(fg - 1) = 0$  and  $fg - 1$  factors through  $Ce_1M$ , say  $fg - 1 = h\mu$ , where  $h : M \rightarrow Ce_1M$  and  $\mu : Ce_1M \rightarrow M$ . If  $fg - 1 \neq 0$  then the image of  $h$  is nonzero and  $M$  contains a direct summand isomorphic to  $\text{Im}(h)$  since  $Ce_1M$  is projective semisimple module. This means that  $M$  has a direct summand isomorphic to  $E_C(1)$ , a contradiction. Hence  $fg = 1$ . If  $\eta(f)$  is nilpotent then there is a natural number  $m$  such that  $f^m$  factors over  $Ce_1M$ . Similar to the above discussion we can show that  $f^m = 0$ . Hence  $M$  is indecomposable.

(5) Similar argument as in the proof of (4) gives the assertion.

(6) follows from (1) and (2).

Now the functor  $\eta$  induces a functor  $\Phi : \dot{\mathcal{U}}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -)) \rightarrow \dot{\mathcal{U}}(\mathcal{F}(\Delta_D), \text{Hom}_D(\eta(R), -))$ , where  $R$  is a module in  $\mathcal{F}(\Delta_C)$ .

**2.4 Lemma.** Suppose  $R$  is a module in  $\mathcal{F}(\Delta_C)$  and has no direct summand isomorphic to  $E_C(1)$ . Then the functor  $\eta : \mathcal{F}(\Delta_C) \rightarrow \mathcal{F}(\Delta_D)$  induces a canonical functor  $\Phi : \dot{\mathcal{U}}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -)) \rightarrow \dot{\mathcal{U}}(\mathcal{F}(\Delta_D), \text{Hom}_D(\eta(R), -))$  such that

(1)  $\Phi$  is exact, full and dense.

(2) If  $V \in \dot{\mathcal{U}}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -))$  is indecomposable with  $\Phi(V) = 0$ , then  $V \cong (E_C(1), 0, 0)$ .

**Proof.** For each object  $V = (V_0, V_\omega, \gamma_V) \in \dot{\mathcal{U}}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -))$  define  $\Phi(V) = (\eta(V_0), V_\omega, \tilde{\gamma}_V)$ , where  $\tilde{\gamma}_V$  is the composition of  $\gamma_V$  and  $\eta_{R, V_0} : \text{Hom}_C(R, V_0) \simeq \text{Hom}_D(\eta(R), \eta(V_0))$ . To each map  $(f_0, f_\omega)$  define  $\Phi(f_0, f_\omega) = (\eta(f_0), f_\omega)$ . It is easy to see that  $\Phi$  is a well-defined functor.

(1) Since  $\eta$  is exact and dense,  $\Phi$  is exact and dense too. Now we show that  $\Phi$  is even full. Since  $\eta$  is full, given any homomorphism  $g : \eta(V_0) \rightarrow \eta(V'_0)$ , we can find a homomorphism  $f_0 : V_0 \rightarrow V'_0$  such that  $\eta(f_0) = g$ . Let  $(\eta(f_0), f_\omega)$  be a homomorphism from  $(\eta(V_0), V_\omega, \tilde{\gamma}_V)$  to  $(\eta(V'_0), V'_\omega, \tilde{\gamma}_{V'})$ . Then we consider the

following diagram:

$$\begin{array}{ccccc}
 V_\omega & \xrightarrow{\gamma_V} & \text{Hom}_C(R, V_0) & \xrightarrow{\eta_{R, V_0}} & \text{Hom}_D(\eta(R), \eta(V_0)) \\
 \downarrow f_\omega & & \downarrow \text{Hom}_C(R, f_0) & & \downarrow \text{Hom}_D(\eta(R), \eta(f_0)) \\
 V'_\omega & \xrightarrow{\gamma_{V'}} & \text{Hom}_C(R, V'_0) & \xrightarrow{\eta_{R, V'_0}} & \text{Hom}_D(\eta(R), \eta(V'_0))
 \end{array}$$

Put  $x = \gamma_V \text{Hom}_C(R, f_0) - f_\omega \gamma_{V'}$ , then  $x\eta_{R, V'_0} = 0$  by definition. According to 2.3 (6),  $\eta_{R, V'_0}$  is an isomorphism, thus  $x = 0$  and the first square of the above diagram is commutative. This implies that  $\Phi$  is full.

(2) If  $(\eta(V_0), V_\omega, \gamma_V) = 0$  then  $V_\omega = 0$  and  $\eta(V_0) = 0$ . This means  $V_0 \cong E_C(1)^l$ . Since  $V$  is indecomposable,  $V_0 \cong E_C(1)$  and  $V \cong (E_C(1), 0, 0)$ .

Combining 2.3 and 2.4 we have

**2.5 Theorem.** Let  $C$  be a quasi-hereditary algebra with standard modules  $\Delta_C(1), \dots, \Delta_C(n)$  such that

(1)  $C$  is the coextension of a quasi-hereditary algebra  $D$  with standard modules  $\Delta_D(2), \dots, \Delta_D(n)$ , and

(2) For  $2 \leq j$  there holds  $\Delta_D(j) \cong \Delta_C(j)/Ce_1\Delta_C(j)$ . Then

(i)  $\mathcal{F}(\Delta_C)$  is finite if and only if  $\mathcal{F}(\Delta_D)$  is finite.

(ii) If  $R$  is a module in  $\mathcal{F}(\Delta_C)$  which does not contain a direct summand isomorphic to  $E_C(1)$ , then  $\mathcal{U}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -))$  is finite if and only if  $\mathcal{U}(\mathcal{F}(\Delta_D), \text{Hom}_D(R/Ce_1R, -))$  is finite.

**Proof.** (i) follows from 2.3 and (ii) follows from 2.3 and 2.4.

This result shows that in order to know whether  $\mathcal{F}(\Delta_C)$  is finite one may verify if  $\mathcal{F}(\Delta_D)$  is finite. In the next section we apply this result to give a reduction to determine the finiteness of  $\mathcal{F}(\Delta)$  for a class of quasi-hereditary algebras.

### 3. Application.

As an application of the results in section 2 we study in this section the class of quasi-hereditary algebras which are the dual extensions of algebras whose quivers have no oriented cycle. We reduce the determination of  $\mathcal{F}(\Delta_A)$  to that of  $\mathcal{F}(\Delta_D)$  with  $D$  the dual extension of a factor algebra of  $B$ . In such a way one can determine whether  $\mathcal{F}(\Delta_A)$  is finite.

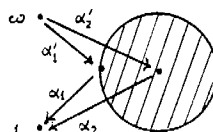
Let us fix some notation. Let  $B$  again be a basic algebra without oriented cycle in its quiver. Since for the dual extension algebra  $A$  of  $B$  the standard modules  $\{\Delta_A(i) \mid 1 \leq i \leq n\}$  are just the indecomposable projective  $B$ -modules, we may always assume that the vertices of the quiver of  $B$  are ordered in such a way that  $\text{Hom}_B(P_B(i), P_B(j)) = 0$  for  $i > j$ . Hence the vertex 1 is always a sink in the quiver of the algebra  $B$ . Let  $\alpha_1, \dots, \alpha_m$  be all the arrows in the quiver of  $B$  ending at the vertex 1. We denote by  $D$  the dual extension of  $\bar{B} = B/Be_1B$ .

Now we try to use 2.2 to describe our category  $\mathcal{F}(\Delta_A)$ . From this point of view we introduce the following new algebra  $A_0$ .

By the construction of  $A$ , an  $A$ -module  $M$  can be always regarded as a module over  $A_0$ , where  $A_0$  is obtained from  $A$  by decomposing the vertex 1 in the quiver of  $A$  into 1 and  $1' = \omega$  such that

- (1)  $\alpha'_1$  starts at  $\omega$  and there is no any other arrow between  $\omega$  and  $j \in Q_0$ , and
- (2) all other arrows in the quiver of  $A$  remain in that of  $A_0$ , and
- (3) all relations of  $A$  are just the relations for  $A_0$ .

The quiver of  $A_0$  looks like the following



Conversely, any module over  $A_0$  can be regarded as a module over  $A$ .

Denote by  $C$  the full subalgebra of  $A_0$  with the vertex set  $\{1, \dots, n\}$ . Since  $\text{rad}P_{A_0}(\omega) = \text{rad}P_A(1)$ , we see that  $A_0$  is the one-point extension of the algebra  $C$  by the  $C$ -module  $R = \text{rad}P_A(1)$  and  $C$  is a quasi-hereditary algebra with standard modules  $\Delta_C(1) = E_C(1) = \Delta_A(1), \dots, \Delta_C(n) = \Delta_A(n)$ . (Note that  $P_C(1) = E_C(1), P_C(j) = P_A(j)$  for  $j \neq 1$ ). Also,  $A_0$  is a quasi-hereditary algebra with standard modules  $\Delta_{A_0}(\omega) = E_{A_0}(\omega), \Delta_{A_0}(i) = \Delta_A(i)$  for  $i \neq \omega$ . Furthermore, we have the following observation.

**3.1 Lemma.**  $\mathcal{F}(\Delta_{A_0}) = \mathcal{F}(\Delta_A) \vee E_{A_0}(\omega)$ .

From this lemma we know that the main question is to determine  $\mathcal{F}(\Delta_{A_0})$ . By 2.2, this is equivalent to the investigation of  $\tilde{\mathcal{U}}(\mathcal{F}(\Delta_C), \text{Hom}_C(R, -))$ . Since the conditions in 2.3 are satisfied by our algebras  $C$  and  $D$ , we can apply the results in section 2 to these algebras to reduce the investigation of  $\mathcal{F}(\Delta_A)$  to  $\mathcal{F}(\Delta_D)$ , namely, we have the following result:

**3.2 Theorem.**  $\mathcal{F}(\Delta_A)$  is finite if and only if  $\tilde{\mathcal{U}}(\mathcal{F}(\Delta_D), \text{Hom}_D(R/ce_1R, -))$  is finite.

Thus one can use the well-known results on the vector space category or representation theory of finite partially ordered sets as a tool to study the subcategories  $\mathcal{F}(\Delta_A)$ . In this case the following lemma may be useful.

**Lemma** ([GR], sect. 4.7). If the category  $\tilde{\mathcal{U}}(\mathcal{K}, |\cdot|)$  is finite, then for each indecomposable object  $X \in \mathcal{K}$ , the right module  $|X|$  over  $\text{End}_{\mathcal{K}}(X)$  is uniserial.

To explain our reduction let us consider the following examples.

**3.3 Examples** (1) Let  $A$  be given by the quiver

$$\begin{array}{ccccc}
 3 & \xrightleftharpoons[\alpha']{\alpha} & 2 & \xrightleftharpoons[\gamma']{\gamma} & 1 \\
 & & \beta' \updownarrow \beta & & \\
 & & 4 & & 
 \end{array}$$

with  $\alpha\alpha' = \beta\beta' = \gamma\gamma' = \alpha\gamma = \alpha\beta' = \beta\alpha' = \gamma'\alpha' = \beta\gamma = \gamma'\beta' = 0$  which is the dual extension of  $B$  given by

$$\begin{array}{ccccc}
 3 & \xrightarrow{\alpha} & 2 & \xrightarrow{\gamma} & 1 \\
 & & \uparrow \beta & & \\
 & & 4 & & 
 \end{array} \quad \alpha\gamma = \beta\gamma = 0.$$

By reduction, the quiver of  $D$  is

$$3 \xrightleftharpoons[\alpha']{\alpha} 2 \xrightleftharpoons[\beta]{\beta'} 4 \quad \text{with} \quad \alpha\alpha' = \beta\beta' = 0$$

and the corresponding subspace category is  $\mathcal{U}(\mathcal{F}(\Delta_D), \text{Hom}_D(E_D(2), -))$ , where  $E_D(2)$  denotes the simple module corresponding to the vertex 2.

Consider  $\text{Hom}_D(E_D(2), P_D(2)) \cong k^2$  as right  $\text{End}_D(P_D(2))$ -module which is annihilated by the radical of  $\text{End}_D(P_D(2))$ , thus it is a semisimple right  $\text{End}_D(P_D(2))$ -module and is not uniserial. By the above lemma,  $\mathcal{U}(\mathcal{F}(\Delta_D), \text{Hom}_D(E_D(2), -))$  is infinite. It follows from 3.2 that  $\mathcal{F}(\Delta_A)$  is infinite. In fact, the modules  $M_\lambda = (M_1, M_2, M_3, M_4; \alpha, \alpha', \beta, \beta', \gamma, \gamma'_\lambda)$  for  $\lambda \in k$  given by

$$(k^2, k^3, k, k; [1 \ 0 \ 0], \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, [0 \ 0 \ 1], \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & \lambda \end{bmatrix})$$

provide a family of infinitely many non-isomorphic indecomposable modules in  $\mathcal{F}(\Delta_A)$ . (One can use 1.5 to decide that  $M_\lambda, \lambda \in k$ , have really a  $\Delta_A$ -filtration).

(2) Let  $A$  be given by the quiver

$$\begin{array}{ccccc}
 1 & \xrightleftharpoons[\alpha']{\alpha} & 3 & \xrightleftharpoons[\gamma']{\gamma} & 4 \\
 & & \beta' \updownarrow \beta & & \\
 & & 2 & & 
 \end{array} \quad \begin{array}{l} \alpha\alpha' = \beta\beta' = \gamma\gamma' = 0 \\ \gamma\alpha = \gamma\beta = \alpha'\gamma' = \beta'\gamma' = 0 \end{array}$$

It is obtained from the algebra  $B$ :

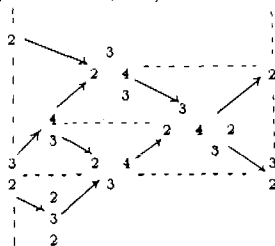
$$\begin{array}{ccccc}
 1 & \xleftarrow{\alpha} & 3 & \xleftarrow{\gamma} & 4 \\
 & & \downarrow \beta & & \\
 & & 2 & & 
 \end{array} \quad \gamma\alpha = \gamma\beta = 0$$

Then the quiver of  $D$  looks like

$$2 \xrightarrow[\beta]{\beta'} 3 \xrightarrow[\gamma]{\gamma'} 4 \quad \beta\beta' = \gamma\gamma' = \gamma\beta = \beta'\gamma' = 0$$

and  $R/ce_1R = \Delta_D(3)$ .

The Auslander-Reiten quiver of  $\mathcal{F}(\Delta_D)$  has the form



where the indecomposable modules are displayed by their Loewy factors and the dotted vertical lines should be identified.

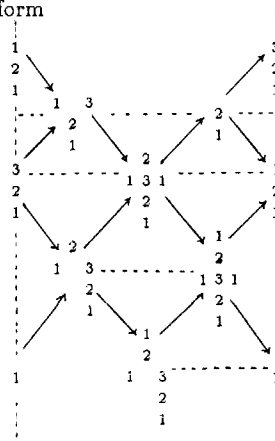
It is easy to show that  $\mathcal{U}(\mathcal{F}(\Delta_D), \text{Hom}_D(\Delta_D(3), -))$  is finite. Indeed, the investigation of this subspace category can be easily converted into that of a poset of finite type ([GR], sect. 4.1). As a consequence of 3.2,  $\mathcal{F}(\Delta_D)$  is finite. In fact, there are 17 isomorphism classes of indecomposables in  $\mathcal{F}(\Delta_A)$ .

**3.4 Proposition.** Let  $\mathcal{A}_n$  be the algebra given by the quiver

$$1 \xrightarrow[\alpha'_1]{\alpha_1} 2 \xrightarrow[\alpha'_2]{\alpha_2} 3 \cdots n-1 \xrightarrow[\alpha'_{n-1}]{\alpha_{n-1}} n$$

with  $\alpha_i\alpha'_i = 0, 1 \leq i \leq n-1$ , which is the dual extension of the Dynkin diagram  $\mathcal{A}_n$ . Then  $\mathcal{F}(\Delta_{\mathcal{A}_n})$  is finite if  $n \leq 3$ , and infinite if  $n > 3$ .

**Proof.** The cases  $n = 1, 2$  are trivial. In case  $n = 3$ , the Auslander-Reiten quiver of  $\mathcal{F}(\Delta_{\mathcal{A}_3})$  has the form



where the dotted vertical lines are identified. Then  $\mathcal{F}(\Delta_{A_3})$  is finite.

In case  $n = 4$ , by deleting the vertex 1, we obtain  $D$  given by

$$2 \xrightarrow{\alpha_2'} \underset{\alpha_2'}{3} \xrightarrow{\alpha_3'} 4 \quad \alpha_2 \alpha_2' = \alpha_3 \alpha_3' = 0.$$

The subspace category  $\tilde{\mathcal{U}}(\mathcal{F}(\Delta_D), \text{Hom}_D(P_D(2), -))$  is infinite since the space  $\text{Hom}_D(P_D(2), P_D(2)) \cong k^3$  considered as right  $\text{End}_D(P_D(2))$ -module is not uniserial, for  $\dim_k \text{rad}(\text{End}_D(P_D(2))) = 2$  and  $\text{rad}^2(\text{End}_D(P_D(2))) = 0$ . Hence  $\mathcal{F}(\Delta_{A_4})$  is infinite.

The general case  $n \geq 4$  follows directly from the case  $n = 4$ . The proof is finished.

#### 4. A special case.

In this section we investigate the full subcategory  $\mathcal{F}(\Delta)$  of the dual extension  $A$  of  $B$  in the special case where  $B$  is a hereditary algebra with  $\text{rad}^2(B) = 0$ . We shall see that in this case  $\mathcal{F}(\Delta)$  behaves as the module category of the algebra  $B$ . Namely, we prove the following

**4.1 Theorem.** Let  $B$  be a hereditary algebra with radical square zero and  $A$  the quasi-hereditary algebra which is the dual extension of  $B$ . Then the Auslander-Reiten quiver of  $\mathcal{F}(\Delta_A)$  has the same number of vertices as that of the algebra  $B$ , and every irreducible map in  $B\text{-mod}$  induces an irreducible map in  $\mathcal{F}(\Delta_A)$ .

**Proof.** Since  $B'$  as well as  $B$  is hereditary, the projective dimension of a simple  $B'$ -module is smaller than 2. Hence  $\text{proj.dim } \Delta_A(i) \leq 1$  for all  $i$  by 1.6. It follows from [DR] that  $\mathcal{F}(\Delta_A)$  is closed under submodules. Let  $Q$  be the ideal of  $A$  such that  $B' \cong A/Q$ . Then  $\text{rad}(A) \cdot Q = 0$  since  $\text{rad}^2(B) = 0$ . To prove the Theorem 4.1, we demonstrate that the functor  $A \otimes_{B'} -$  is dense and preserves irreducible maps.

**4.2 Lemma.** The functor  $F: B'\text{-mod} \rightarrow \mathcal{F}(\Delta_A)$  is dense.

**Proof.** Clearly, the functor maps  $B'$ -modules into  $\mathcal{F}(\Delta_A)$  according to 1.6. Suppose  $M \in \mathcal{F}(\Delta_A)$ . We will show that there is a  $B'$ -module  $X$  such that  $F(X) \cong M$ . Since  $\mathcal{F}(\Delta_A)$  is closed under submodules,  $QM$  lies in  $\mathcal{F}(\Delta_A)$ . Note that the module  $QM$  is a semisimple  $A$ -module. Thus each simple direct summand of  $QM$  belongs to  $\mathcal{F}(\Delta_A)$ . It follows from  $A$  being a BGG-algebra that the simple direct summands of  $QM$  lie also in  $\mathcal{F}(\nabla_A)$ . Hence  $\text{Ext}_A^1(\mathcal{F}(\Delta_A), QM) = 0$ . From the construction of  $A$  we know that  $A = B' \oplus Q$  and there is an exact sequence

$$0 \rightarrow Q_{B'} \rightarrow A_{B'} \rightarrow B' \rightarrow 0$$

in  $B'\text{-mod}$ . Applying  $-\otimes_{B'}(M/QM)$  to this sequence, one gets an exact sequence

$$\begin{aligned} \text{Tor}_1^{B'}(B', M/QM) &\rightarrow Q \otimes_{B'}(M/QM) \\ &\rightarrow A \otimes_{B'}(M/QM) \rightarrow B' \otimes_{B'}(M/QM) \rightarrow 0. \end{aligned}$$

This gives a short exact sequence in  $A\text{-mod}$ :

$$0 \longrightarrow Q \otimes_{B'} (M/QM) \longrightarrow A \otimes_{B'} (M/QM) \xrightarrow{\alpha} M/QM \longrightarrow 0$$

since  $\text{Tor}_1^{B'}(B', M/QM) = 0$ . If we apply  $\text{Hom}_A(Y, -)$  with  $Y \in \mathcal{F}(\Delta_A)$  to the above sequence then we get

$$\begin{aligned} 0 \longrightarrow \text{Hom}_A(Y, Q \otimes_{B'} (M/QM)) &\longrightarrow \text{Hom}_A(Y, A \otimes_{B'} (M/QM)) \\ &\longrightarrow \text{Hom}_A(Y, M/QM) \longrightarrow \text{Ext}_A^1(Y, Q \otimes_{B'} (M/QM)). \end{aligned}$$

Note that with  $A \otimes_{B'} (M/QM)$  also  $Q \otimes_{B'} (M/QM)$  is in  $\mathcal{F}(\Delta_A)$ , and hence  $Q \otimes_{B'} (M/QM)$  is a semisimple module and belongs to  $\mathcal{F}(\nabla_A)$ . Thus  $\text{Ext}_A^1(Y, Q \otimes_{B'} (M/QM)) = 0$  by 1.2 (1) and  $\text{Hom}_A(Y, \alpha)$  is surjective. This yields that  $\alpha$  is an  $\mathcal{F}(\Delta_A)$ -approximation of  $M/QM$ . (Recall that a morphism  $f: Y \rightarrow M$  with  $Y$  in a full subcategory  $\mathcal{C}$  of  $A\text{-mod}$  is called a right  $\mathcal{C}$ -approximation if  $\text{Hom}_A(Y', f)$  is surjective for all  $Y' \in \mathcal{C}$ ). On the other hand, we have the natural exact sequence in  $A\text{-mod}$ :

$$0 \longrightarrow QM \longrightarrow M \xrightarrow{\beta} M/QM \longrightarrow 0$$

Without loss of generality, we may assume that  $M$  is indecomposable. Then  $\beta$  is right minimal. (A homomorphism  $f: Y \rightarrow M$  in  $A\text{-mod}$  is said to be right minimal if an endomorphism  $g: Y \rightarrow Y$  is an automorphism whenever  $gf = f$ ). Similarly, one can see that  $\beta$  is also a right  $\mathcal{F}(\Delta_A)$ -approximation. Hence,  $\beta$  is a minimal right  $\mathcal{F}(\Delta_A)$ -approximation for  $M/QM$  and we have the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & QM & \longrightarrow & M & \xrightarrow{\beta} & M/QM \longrightarrow 0 \\ & & \downarrow & & \downarrow f & & \parallel \\ 0 & \longrightarrow & Q \otimes_{B'} (M/QM) & \longrightarrow & A \otimes_{B'} (M/QM) & \xrightarrow{\alpha} & M/QM \longrightarrow 0 \\ & & \downarrow & & \downarrow g & & \parallel \\ 0 & \longrightarrow & QM & \longrightarrow & M & \xrightarrow{\beta} & M/QM \longrightarrow 0 \end{array}$$

Since  $\beta = (fg)\beta$ , we know that  $fg$  is an automorphism of  $M$ . Hence  $M$  is a direct summand of  $A \otimes_{B'} (M/QM)$ . Let  $M/QM = \bigoplus_j X_j$ , where  $X_j$  are indecomposable  $B'$ -modules. Then  $A \otimes_{B'} (M/QM) \cong \bigoplus_j A \otimes_{B'} X_j$  with  $A \otimes_{B'} X_j$  indecomposable by 1.6 (3) and there is an  $X_j$  such that  $F(X_j) \cong M$ , and therefore the functor is dense.

**4.3 Lemma.** If  $f: M \rightarrow N$  is an irreducible map between indecomposable  $B'$ -modules, then  $A \otimes_{B'} f$  is an irreducible map in  $\mathcal{F}(\Delta_A)$ .

**Proof.** If  $A \otimes_{B'} f$  factors through a module  $X' \in \mathcal{F}(\Delta_A)$  then, by Lemma 4.2, we may write  $X' = A \otimes_{B'} X$  with  $X$  a  $B'$ -module:

$$\begin{array}{ccc} A \otimes_{B'} M & \xrightarrow{A \otimes f} & A \otimes_{B'} N \\ h \searrow & & \nearrow g \\ & A \otimes_{B'} X & \end{array}$$

Applying the functor  $G = B' \otimes_A -$  to this commutative diagram we have

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ B' \otimes_A h \searrow & & \nearrow B' \otimes_A g \\ & X & \end{array}$$

Hence  $B' \otimes_A h$  is split mono, or  $B' \otimes_A g$  is split epi. And therefore  $h = A \otimes_{B'} (B' \otimes_A h)$  is split mono, or  $g = A \otimes_{B'} (B' \otimes_A g)$  is split epi. Thus the lemma follows.

Now the Theorem 4.1 follows immediately from 4.2, 4.3 and 1.6.

**4.4 Remark.** The condition that  $B$  has radical-square-zero in the theorem is necessary. Let  $B$  be the algebra given by the quiver  $1 \leftarrow 2 \leftarrow 3$ . Then the corresponding BGG-algebra  $A$  has  $\mathcal{F}(\Delta_A)$  with 9 indecomposable modules while the algebra  $B$  has only 6 indecomposable modules (cf. 3.4). Also the example 3.3 (1) shows that the heredity of  $B$  is necessary.

## 5. Quadratic duality.

In this section we discuss quadratic algebras and their dual quadratic algebras. Especially, we prove that the dual quadratic algebras of the dual extensions of quadratic algebras  $B$  without oriented cycle in their quivers are quasi-hereditary.

**5.1 Definition.** The algebra  $A = kQ / \langle R \rangle$  is called quadratic if  $R$  is a subset of the space spanned by all paths of length 2.

Each quadratic algebra  $A = kQ / \langle R \rangle$  has a natural  $\mathbb{Z}$ -grading

$$A = A_0 \oplus A_1 \oplus A_2 \oplus \cdots$$

where  $A_i$  is the space generated by the residual classes of paths of length  $i$ . We simply identify  $R$  with a subset of  $A_1 \otimes_{A_0} A_1$ , and the space spanned by such subset is denoted by  $R(A)$ .

For convenience, we write  $A$  as

$$A \leftrightarrow \{A_1, R(A) \subset A_1 \otimes_{A_0} A_1\}$$

Then  $A = T(A_1) / \langle R(A) \rangle$ , where  $T(A_1)$  is the tensor algebra of  $A_1$  over  $A_0$ .



A morphism  $f : A \rightarrow B$  of quadratic algebras is an algebra homomorphism preserving gradings.

Let  $A = kQ / \langle R \rangle = \bigoplus_{i \geq 0} A_i$  be a quadratic algebra. Regard the  $k$ -dual space  $A_1^*$  of  $A_1$  as  $A_0$ -bimodule with the action  $(afb)(v) = f(bva)$ , where  $a, b \in A_0$  and  $f \in A_1^*$ . The dual quadratic algebra  $A^!$  is defined by

$$A^! \mapsto \{A_1^*, R(A)^\perp \subset (A_1 \otimes_{A_0} A_1)^* = A_1^* \otimes_{A_0} A_1^*\}$$

here we identify  $(A_1 \otimes_{A_0} A_1)^*$  with  $A_1^* \otimes_{A_0} A_1^*$  by sending  $(f \otimes g)^*$  to  $g^* \otimes f^*$ . More precisely,

$$A^! = T(A_1^*)/I$$

where  $I$  is the ideal of  $T(A_1^*)$  generated by  $R(A)^\perp$ , in other words,

$$A^! = kQ^* / \langle R(A)^\perp \rangle$$

where  $Q_0^* = Q_0$  and  $Q_1^* = \{\alpha^* \mid \alpha \in Q_1\}$ . The construction of dual quadratic algebras yields a functor

$$! : QA \rightarrow QA^{op}, \quad A \mapsto A^!$$

which maps a morphism  $f : A \rightarrow B$  to a morphism  $f^! : B^! \rightarrow A^!$  induced by  $f_1^* : B_1^* \rightarrow A_1^*$  (see [M]).

**5.2 Lemma**([M]). The functor  $!$  is an equivalence and  $!^2 \simeq id_{QA}$ , where  $id_{QA}$  denotes the identity functor.

Let  $A = kQ / \langle R \rangle = \bigoplus_{i \geq 0} A_i$  be a quadratic algebra. Recall that by  $\mathcal{A}(A)$  we denote the dual extension of  $A$  (see section 1). By construction,

$$\mathcal{A}(A) \mapsto \{A_1 \oplus A_1', R(\mathcal{A}(A)) \subset (A_1 \oplus A_1') \otimes_{A_0} (A_1 \oplus A_1')\}$$

where  $A_1' = \bigoplus_{\alpha \in Q_1} k\alpha'$  and  $R(\mathcal{A}(A))$  is the space spanned by  $R(A) \cup R(A)^\perp \cup \{\alpha\beta' \mid \alpha, \beta \in Q_1\}$ .

The correspondence  $A \mapsto \mathcal{A}(A)$  gives rise to a functor

$$\mathcal{A} : QA \rightarrow QA, \quad A \mapsto \mathcal{A}(A).$$

Then we have the following

**5.3 Theorem.** For each quadratic algebra  $A = kQ / \langle R \rangle = \bigoplus_{i \geq 0} A_i$ , there is an isomorphism  $\eta(A) : \mathcal{A}(A)^! \rightarrow \mathcal{A}(A^!)$ .

**Proof.** By construction, the algebra  $\mathcal{A}(A)$  is given as follows:

$$\mathcal{A}(A) \mapsto \{A_1 \oplus A_1', R(\mathcal{A}(A)) = R(A) \oplus R(A)^\perp \oplus A_1 \otimes_{A_0} A_1' \subset (A_1 \oplus A_1') \otimes_{A_0} (A_1 \oplus A_1')\}$$

where  $R(A)' = \{\sum_i \lambda_i \alpha_i' \beta_i' \mid \sum_i \lambda_i \beta_i \alpha_i \in R(A)\}$ . Therefore,

$$\mathcal{A}(A)' \mapsto \{(A_1 \oplus A_1')^* = A_1^* \oplus A_1'^*, R(\mathcal{A}(A)') = R(\mathcal{A}(A))^\perp \subset ((A_1 \oplus A_1') \otimes (A_1 \oplus A_1'))^*\}.$$

Similarly,  $A' \mapsto \{A_1^*, R(A)^\perp \subset (A_1 \otimes A_1)^*\}$  and

$$\begin{aligned} \mathcal{A}(A') &\mapsto \{A_1^* \oplus A_1'^*, R(\mathcal{A}(A')) = R(A)^\perp \oplus (R(A)^\perp)' \oplus A_1^* \otimes A_1'^* \\ &\subset (A_1^* \oplus A_1'^*) \otimes (A_1^* \oplus A_1'^*)\} \end{aligned}$$

where  $A_1^* \otimes A_1'^* \supset (R(A)^\perp)' = \{\sum_j \mu_j u_j^* v_j'^* \mid \sum_j \mu_j v_j^* u_j^* \in R(A)^\perp, \mu_j \in k\}$ .

Set

$$\begin{aligned} f: A_1^* \oplus A_1'^* &\longrightarrow A_1^* \oplus A_1'^* \\ (\sum_i \lambda_i \alpha_i^*, \sum_j \mu_j \beta_j'^*) &\longmapsto (\sum_i \lambda_i \alpha_i^*, \sum_j \mu_j \beta_j'^*) \end{aligned}$$

Then  $f$  is an  $A_0$ -linear map such that  $f \otimes f(R(\mathcal{A}(A)')) = R(\mathcal{A}(A'))$  and it induces the wanted isomorphism  $\eta(A)$ .

Indeed, the isomorphisms  $\eta(A)$  give rise to a natural isomorphism  $\eta: \mathcal{A} \rightarrow \mathcal{A}'$ .

Let us now give an example to explain 5.3 before we go further.

**5.4 Example.** Let  $A$  be the dual extension of the path algebra of the quiver  $Q = (Q_0, Q_1)$ :

$$\begin{array}{ccccc} 3 & \xrightarrow{\alpha} & 2 & \xrightarrow{\gamma} & 1 \\ & & \uparrow \beta & & \\ & & 4 & & \end{array}$$

modulo the ideal generated by  $R = \{\alpha\gamma, \beta\gamma\}$ .

Then  $\mathcal{A}(A)'$  and  $\mathcal{A}(A')$  are, respectively, the path algebras of the following quivers with the relations

$$\begin{array}{ccc} 1 & \xrightleftharpoons[\gamma^*]{\gamma'^*} & 2 \xrightleftharpoons[\alpha^*]{\alpha'^*} 3 \\ & \beta^* \updownarrow \beta'^* & \\ & 4 & \end{array} \quad \text{and} \quad \begin{array}{ccc} 1 & \xrightleftharpoons[\gamma^*]{\gamma'^*} & 2 \xrightleftharpoons[\alpha^*]{\alpha'^*} 3 \\ & \beta^* \updownarrow \beta'^* & \\ & 4 & \end{array}$$

$$\alpha\alpha'^* = \beta^*\beta'^* = \gamma^*\gamma'^* = 0 \qquad \alpha^*\alpha'^* = \beta^*\beta'^* = \gamma^*\gamma'^* = 0$$

Obviously, they are isomorphic.

Now we return to arbitrary algebras defined by quivers and relations.

**5.5 Theorem.** Let  $A = kQ / \langle R \rangle$  and  $B = kS / \langle T \rangle$ , and  $Q, S$  have no oriented cycle. Then  $\mathcal{A}(A) \otimes_k \mathcal{A}(B) \simeq \mathcal{A}(A \otimes_k B)$ .

**Proof.** Note that the quiver of  $A \otimes_k B$  consists of the vertices  $e_i \otimes f_j$  and arrows  $\alpha \otimes e_i, f_j \otimes \beta$ , where  $e_i, f_j$  are the idempotents of  $A$  and  $B$  corresponding to vertices  $i \in Q, j \in S$ , and  $\alpha, \beta$  are arrows in  $Q$  and  $S$ , respectively.

By construction, the quiver of  $\mathcal{A}(A \otimes_k B)$  has the same set of vertices as that of  $A \otimes_k B$ , and it consists of arrows  $\alpha \otimes e_i, f_j \otimes \beta, (\alpha \otimes e_i)', (f_j \otimes \beta)', i \in Q_0, j \in S_0, \alpha \in Q_1$  and  $\beta \in S_1$ .

The embeddings  $i_A : \mathcal{A}(A) \rightarrow \mathcal{A}(A \otimes_k B)$  defined by  $a \mapsto a \otimes 1$  and  $i_B : \mathcal{A}(B) \rightarrow \mathcal{A}(A \otimes_k B)$  defined by  $b \mapsto 1 \otimes b$ , where we identify  $\alpha' \otimes e_i$  with  $(\alpha \otimes e_i)'$  and  $f_j \otimes \beta'$  with  $(f_j \otimes \beta)'$ , induce a  $k$ -linear map

$$\Psi : \mathcal{A}(A) \times \mathcal{A}(B) \longrightarrow \mathcal{A}(A \otimes_k B)$$

$$(a, b) \longmapsto i_A(a) \cdot i_B(b)$$

which is balanced. It then induces an algebra homomorphism

$$\Phi : \mathcal{A}(A) \otimes_k \mathcal{A}(B) \longrightarrow \mathcal{A}(A \otimes_k B)$$

$$a \otimes b \longmapsto \Psi(a, b) = i_A(a) \cdot i_B(b)$$

which provides a quiver isomorphism from the quiver of  $\mathcal{A}(A) \otimes_k \mathcal{A}(B)$  to that of  $\mathcal{A}(A \otimes_k B)$ . Therefore,  $\Phi$  is surjective.

On the other hand, using Lemma 1.4 (4) and comparing the dimensions of  $\mathcal{A}(A) \otimes_k \mathcal{A}(B)$  and  $\mathcal{A}(A \otimes_k B)$ , we obtain

$$\begin{aligned} \dim_k \mathcal{A}(A) \otimes_k \mathcal{A}(B) &= \dim_k \mathcal{A}(A) \cdot \dim_k \mathcal{A}(B) = \left( \sum_i \dim_k^2 P_A(i) \right) \left( \sum_j \dim_k^2 P_B(j) \right) \\ &= \sum_{i,j} (\dim_k P_A(i) \dim_k P_B(j))^2 = \sum_{i,j} (\dim_k (P_A(i) \otimes_k P_B(j)))^2 \\ &= \dim_k \mathcal{A}(A \otimes_k B) \end{aligned}$$

since  $A \otimes_k B \otimes_k A \otimes_k B = \bigoplus_{i,j} P_A(i) \otimes_k P_B(j)$ .

As a result,  $\Phi$  is an isomorphism.

**5.6 Corollary.** Let  $A$  and  $B$  be quadratic algebras without oriented cycle in their quivers. Then  $(\mathcal{A}(A) \otimes_k \mathcal{A}(B))'$  is quasi-hereditary.

**Proof.** Since  $A$  and  $B$  have no oriented cycle,  $A \otimes_k B$  has no oriented cycle either. Then so does  $(A \otimes_k B)'$ .

From 5.3 and 5.5 it follows that the algebra

$$(\mathcal{A}(A) \otimes_k \mathcal{A}(B))' \simeq (\mathcal{A}(A \otimes_k B))' \simeq \mathcal{A}((A \otimes_k B)')$$

is quasi-hereditary.

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